Outputs not acknowledging funding from a UK Research Council - Options for going Gold

If you are not acknowledging UK Research Council funding in your paper then we may still be able to offer financial support for the payment of your Article Processing Charges. For an up-to-date list of the publishers that we currently have agreements with, see: durham.ac.uk/research.innovation/outputs/openaccess/oacosts/deals

Open Access Help & Advice

Contact the Open Access team and we will do our best to assist you.

- Key areas - Gold Open Access and Research Councils’ Open Access block grant (administered by UKRI):
  - Publications officer: Sarah Hyland
  - 0191 334 1586
  - openaccess.publishing@durham.ac.uk

- Key areas - Green Open Access and DRO:
  - Repository manager: Heather Ewington
  - Repository assistant: Elena Carlaw
  - 0191 334 1586
  - dro.admin@durham.ac.uk

For any query or doubt concerning the best approach to disseminate your research, please contact your Academic Liaison Librarian for Research Support:

James Bisset
- 0191 334 1589
- james.bisset@durham.ac.uk

Open data

If you are funded by RCUK, in line with the RCUK Common Principles on Data Policy, you are required to include a statement in your published paper on how and on what terms any underlying data can be accessed by third parties. If there are good or compelling reasons to restrict access to the data, these should be included in the statement. You can access the Data Policy here: r cu k.ac.uk/research/datapolicy

The University has provided additional guidance on compliance with EPSRC (Engineering and Physical Sciences Research Council) data requirements: durham.ac.uk/research.innovation/outputs/data.management/policy/epsrc

Additional guidance can be sought from the Research Data Manager at: research.data@durham.ac.uk

Visual summary of Going Gold checklist:
What is Open Access?

Open Access refers to research outputs that are free to access online by anyone with an internet connection. It is an alternative to the traditional publishing model that restricts access to only those who have paid for it.

There are various ways of publishing open access.

Gold Open Access offers more advantages to the public as it enables access to outputs sooner and in a finalised format (copyediting and typesetting).

If you are in receipt of funding from one of the UK Research Councils and you acknowledge this funding in a peer-reviewed journal article or conference proceeding, you should comply with the requirements of the RCUK Open Access Policy.

Responsibilities for UK Research Council-funded researchers

Two routes to publishing open access:
- Gold and Green

Gold Open Access (preferred by RCUK Open Access Policy for peer-reviewed journal and conference papers acknowledging their funding)
- Publish in a traditional subscription journal.
- Ensure that the Author Accepted Manuscript (version that has been peer-reviewed but not yet formatted by the publisher) is deposited in the institutional repository (DRO). Do this within 3 months from acceptance to meet the REF open access requirements (see our guide on REF Open Access requirements).
- Ensure that the grant code is provided with the request as no funds can be committed without this.
- Email openaccess.publishing@durham.ac.uk if your grant does not have a grant code or internal R code.
- If you have no access to the Staff Profile System, email openaccess.publishing@durham.ac.uk with the following details:
  - grant code (or name of student author funded by a UK Research Council)
  - publication status

Green Open Access (preferred by Durham University for papers not covered by the RCUK OA policy, and for other research outputs)
- Publish in a traditional subscription journal.
- Ensure that the publication is deposited in the institutional repository (DRO).
- Ensure that the grant (and preferably the grant code) must be acknowledged in the paper. For guidance on how to do this, see page 4 of:
  - bit.ly/2GtDhd2
- The preference is for gold open access which provides “immediate, unrestricted, online access to peer-reviewed and publishes research papers, free of any charge and with maximum opportunities for re-use”.
- To comply with RCUK’s Open Access Policy, embargo periods should not exceed 6 months for MRC-funded papers; 12 months for BBSRC, EPSRC, STFC and NERC; and 24 months for AHRC and ESRC.
- For embargo periods of more than 6 months, ensure that the embargoed period is specified in the institutional repository (DRO) and that the embargoed version is available for re-use.
- If you have no access to the Staff Profile System, email openaccess.publishing@durham.ac.uk with the following details:
  - grant code (or name of student author funded by a UK Research Council)
  - publication status

To comply with RCUK’s Open Access Policy, there should be no restriction placed by the publisher on non-commercial re-use.

Gold Open Access is the preferred option for Gold open access.

If you wish to publish in a hybrid journal, the open access option is available for Gold open access.

For more information, please visit durham.ac.uk/research.innovation/outputs/openaccess/policies/rcuk.