Outputs not acknowledging funding from a UK Research Council - Options for going Gold

If you are not acknowledging UK Research Council funding in your paper then we may still be able to offer financial support for the payment of your Article Processing Charges. For an up-to-date list of the publishers that we currently have agreements with, see:
durham.ac.uk/research.innovation/outputs/openaccess/oacosts/deals

Open Access Help & Advice
Contact the Open Access team and we will do our best to assist you.

- Key areas - Gold Open Access and Research Councils’ Open Access block grant (administered by UKRI):
  Publications officer: Sarah Hyland
  0191 334 1586
  openaccess.publishing@durham.ac.uk

- Key areas - Green Open Access and DRO:
  Repository manager: Heather Ewington
  Repository assistant: Elena Carlaw
  0191 334 1586
  dro.admin@durham.ac.uk

For any query or doubt concerning the best approach to disseminate your research, please contact your Academic Liaison Librarian for Research Support:
James Bisset
  0191 334 1589
  james.bisset@durham.ac.uk

Open data
If you are funded by RCUK, in line with the RCUK Common Principles on Data Policy, you are required to include a statement in your published paper on how and on what terms any underlying data can be accessed by third parties. If there are good or compelling reasons to restrict access to the data, these should be included in the statement. You can access the Data Policy here:
rcuk.ac.uk/research/datapolicy

The University has provided additional guidance on compliance with EPSRC (Engineering and Physical Sciences Research Council) data requirements:
durham.ac.uk/research.innovation/outputs/data.management/policy/epsrc

Additional guidance can be sought from the Research Data Manager at:
research.data@durham.ac.uk

Visual summary of Going Gold checklist:
What is Open Access?

Open Access refers to research outputs that are free to access online by anyone with an internet connection. It is an alternative to the traditional publishing model that restricts access to only those who have paid for it.

As explained in this leaflet, there are various ways of publishing open access. **Gold Open Access** offers more advantages to the public as it enables access to outputs sooner and in a finalised format (copyediting and typesetting).

Responsibilities for UK Research Council-funded researchers

If you are in receipt of funding from one of the UK Research Councils and you acknowledge this funding in a peer-reviewed journal article or conference proceeding, you should comply with the requirements of the **RCUK Open Access Policy**.

- The policy applies to peer-reviewed journal articles and conference proceedings accepted for publication since 1st April 2013.
- The grant (and preferably the grant code) must be acknowledged in the paper. For guidance on how to do this, see page 4 of: bit.ly/2GtDhd2
- The preference is for gold open access which provides “immediate, unrestricted, online access to peer-reviewed and published research papers, free of any charge and with maximum opportunities for re-use”.
- Durham University has a block grant from UK Research Councils to pay **Article Processing Charges** (APCs) for eligible papers that acknowledge its funding.

Two routes to publishing open access: Gold and Green

**Gold Open Access** (preferred by RCUK Open Access Policy for peer-reviewed journal and conference papers acknowledging their funding)

- Final version-of-record free to access from the publisher’s website upon publication.
- Assigned a licence permitting re-use (Creative Commons Attribution - **CC BY** - licence is mandated by RCUK).
- Increased journal options for submission - able to publish in a pure open access or hybrid journal (i.e. publishes both subscription and open access content).
- Often an Article Processing Charge (APC) is paid to the publisher.

**Green Open Access** (preferred by Durham University for papers not covered by the RCUK OA policy, and for other research outputs)

- Publish in a traditional subscription journal.
- Ensure that the **Author Accepted Manuscript** (version that has been peer-reviewed but not yet formatted by the publisher) is deposited in the institutional repository (DRO). Do this **within 3 months** from acceptance to meet the REF open access requirements (see our guide on REF Open Access Policy).
- DRO staff will ensure manuscript is available for all to access - taking into consideration embargo periods set by the publishers and any copyright restrictions.
- To comply with the RCUK’s Open Access Policy, embargo periods should not exceed 6 months for MRC-funded papers; 12 months for BBSRC, EPSRC, STFC and NERC; and 24 months for AHRC and ESRC.
- To comply with RCUK’s Open Access Policy there should be no restriction placed by the publisher on non-commercial re-use.

UK Research Council-funded authors: checklist for going Gold

- Contact Open Access team on acceptance and prior to publication to request funding.
- If submitting to a pure open access journal request funding at point of submission. Full details at: bit.ly/2ricR3j
- Funding request made via **Staff Profile System** at: bit.ly/2pFP9ii
- If you have no access to the Staff Profile System, email openaccess.publishing@durham.ac.uk with the following details:
  - article title
  - publication title
  - grant code (or name of student author funded by a UK Research Council)
  - publication status
- Ensure that the **grant code** is provided with the request as no funds can be committed without this. Email openaccess.publishing@durham.ac.uk if your grant does not have a grant code or internal R code.
- Be aware that Open Access team carry out checks on grant number and publication. A confirmation email will be sent stating whether funds have been committed.
- If funding is committed this is for the journal originally stated. If re-submitting elsewhere, this will be treated as a new application.
- Ensure that the funder (and preferably the grant code) are acknowledged in the paper.
- After acceptance, tell publisher of requirement to publish Gold open access with a CC BY licence.
- Ensure invoice is directed to the Open Access team.
- A confirmation email will be sent once payment has been made. The Open Access team will check on publication that the paper complies with RCUK’s Open Access Policy.

The full RCUK Open Access Policy, including the RCUK’s requirements in relation to green and gold open access, can be accessed via: durham.ac.uk/research.innovation/outputs/openaccess/policies/rcuk